

**SHASTA-TEHAMA-TRINITY JOINT COMMUNITY COLLEGE DISTRICT  
CONSTRUCTION PROJECTS  
2026 PRE-QUALIFICATION APPLICATION**

**Shasta-Tehama-Trinity Joint Community College District  
Construction Projects  
2026 Pre-Qualification Application Instructions**

Shasta-Tehama-Trinity Joint Community College District (“District”) requests Contractors licensed by the California Contractors’ State License Board as B - General Building contractors (“Applicants”) submit completed Pre-Qualification Applications (“Application”) for District review and determination of the Applicant’s pre - qualified status and Qualification Limits to submit Bid Proposals for District construction projects during the 2026 calendar year (“Projects”).

**1. Pre-Qualification.**

- 1.1. Public Contract Code §20651.5. Pre-qualification of Applicants is pursuant to Public Contract Code §20651.5. The Pre-Qualification of Applicants is based on evaluation criteria described in this Application, including Applicants’ financial status and public works construction experience. A uniform system for rating Applicants based on responses of Applicants to the Pre-Qualification Application Questionnaire and Applicants’ financial statements are established in these Instructions.
- 1.2. Qualification Limit. A Qualification Limit will be established for each pre-qualified Applicant. The District has established the following Qualification Limits. A pre-qualified Applicant may submit Bid Proposals for Projects with estimated Construction Costs up to, but not exceeding the pre-qualified Applicant’s Qualification Limit. Qualification Limits are as follows:

Qualification Limit	District Estimated Project Construction Costs
A	Up to one million dollars (\$1,000,000)
B	Up to three million dollars (\$3,000,000)
C	Up to five million dollars (\$5,000,000)
D	Up to seven million dollars (\$7,000,000)
E	Up to ten million dollars (\$10,000,000)
F	Up to fifteen million dollars (\$15,000,000)
G	More than fifteen million dollars (\$15,000,000)

- 1.3. Pre-Qualification Application. Applicants must complete the Pre-Qualification Application issued by the District. All information required by the Pre-Qualification Application must be current, complete and accurate. An Applicant may not modify, revise or amend any portion of a Pre-Qualification Application that has been submitted to the District. ***Each Applicant is solely responsible for confirming that complete and accurate information is provided in its submitted Pre-Qualification Application.*** Modifications to the District issued Pre-Qualification Application will result in rejection of the submitted Application.
- 1.4. Duration of Pre-Qualification and Qualification Limit. The pre-qualified status and Qualification Limit of Applicants will be for the 2026 calendar year. The Pre-Qualification status and Qualification Limit of Applicants who submit completed Pre-Qualification Applications prior to January 1, 2026 will be for the entire 2026 calendar year. The Pre-Qualification status and Qualification Limit of Applicants who submit completed Pre-Qualification Applications after January 1, 2026 will be for the remaining duration of the 2026 calendar year.
- 1.5. Public Records. All materials submitted in response to the Pre-Qualification Application are property of the District and except as provided herein are public records upon submission to the District. Pursuant to Public Contract Code §20651.5, Applicants’ questionnaire responses and their financial statements are not public records and shall not be open to public inspection. The District is not liable or responsible

for the disclosure of Responses to the Application, or portions thereof, including those exempt from disclosure if disclosure is by operation of law, by an order of a court of competent jurisdiction, or which occurs through inadvertence, mistake or negligence on the part of the District or its agents or representatives. If the District is required to defend or otherwise respond to any action or proceeding wherein request is made for the disclosure of the contents of any portion of a Response to the Application deemed exempt from disclosure hereunder, by submitting a Response to the Application, each Applicant agrees to defend, indemnify and hold harmless the District in any action or proceeding from and against any costs, expenses or liability, including without limitation attorneys’ fees arising therefrom. The Applicant submitting materials sought by any other party shall be solely responsible for the cost and defense in any action or proceeding seeking to compel disclosure of such materials. The District’s sole involvement in any such action shall be that of a stakeholder, retaining the requested materials until otherwise ordered by a court of competent jurisdiction.

**2. Projects Subject to Pre-Qualification.** Pre-Qualification requirements for Projects bid by the District will be established in the bid notice/advertisement and Call For Bids for each Project. The District anticipates that the majority of Projects funded by Measure H (“Bond”) proceeds will require Pre-Qualification of bidders. In the November 2016 election, voters within the District’s service area approved the Bond, which authorized the District’s issuance of General Obligation Bonds in the amount of \$139 million to finance development, design and construction of capital improvement projects. The following is a description of the Bond Projects planned at this time to be completed with Measure H funds:

<b>Bond Projects Description</b>
Mechanical, electrical and plumbing infrastructure up-upgrades in existing facilities
Modernization of existing buildings on Shasta College Main Campus
Health and Safety Repairs
Additional projects as needed

In addition to Bond funds, the District anticipates additional funding for various scheduled maintenance and other capital improvement projects that will require bidder Pre-Qualification.

**3. Pre-Qualification Application Instructions and Procedures**

- 3.1. Pre-Qualification Application Questions. Applicant questions and other communications relating to the Pre-Qualification Application or the Pre-Qualification process/procedures must be submitted in writing and be directed to the District at: [BondOffice@shastacollege.edu](mailto:BondOffice@shastacollege.edu). Responses of the District to Applicant questions will only be by writing. Submitted Applicant questions and District responses may be disseminated to other Applicants in the sole discretion of the District.
- 3.2. Unauthorized Communications. Applicants shall not directly or indirectly communicate, in any manner and through any media/medium, with: (i) the District or District employee engaged in preparation, processing or review of Pre-Qualification Applications; (ii) any consultant or professional retained by the District for the purpose of providing the District advice or professional services relating to the Project or the Pre-Qualification Application; or (iii) any other employee or representative of the District.
- 3.3. No Oral Clarifications/Modifications. The District will not provide any oral clarifications or modifications to the Pre-Qualification Application or the requirements hereof; no employee, officer, agent or representative of the District is authorized to provide oral clarifications or modifications to the Pre-Qualification Application. No Applicant shall rely on any oral clarification or modification to the Pre-Qualification Application.
- 3.4. Additional Information. The District may request Applicants to provide additional or supplemental information or other materials. Failure of an Applicant to timely submit information or other materials requested by the District may result in rejection of the Applicant’s Pre-Qualification Application for non-

responsiveness.

- 3.5. District Pre-Qualification Application Modifications. The District expressly reserves the right to modify any portion of the Pre-Qualification Application by issuing Addenda to this Pre-Qualification Application.
- 3.6. Pre-Qualification Application Costs. All costs to respond to the Pre-Qualification Application and all related activities shall be borne solely by Applicants.

#### 4. Submission of Pre-Qualification Application.

- 4.1. Year-Long Submittal. The District will accept and review submitted Pre-Qualification Applications at any time throughout the year. The District anticipates determination of an Applicant's Pre-Qualification status and Qualification Limit within sixty (60) days of receipt of a Pre-Qualification Application. Notice to Applicants of Pre-Qualification status and Qualification Limit will be via email.
- 4.2. Email Responses. Responses to the Pre-Qualification Application must be submitted to the District via email at: [BondOffice@shastacollege.edu](mailto:BondOffice@shastacollege.edu) with the following noted in the Re/Subject Matter line: "SHASTA COLLEGE CONSTRUCTION PRE-QUALIFICATION."
- 4.3. Response Format and Contents. Applicants must submit all of the following in the format and organization described below. Failure of an Applicant to strictly comply with the following format and organization of responses to this Application will result in rejection of the Application. An Applicant whose response to the Application is rejected for failure to submit its response in conformity to the following may re-submit a response to the Application. The submitted Application response must be compiled into one document in standard PDF format, organized and bookmarked as described below:

Section 1	Completed Pre-Qualification Application
Section 2	Certificates of Insurance (Applicant's general liability insurance and worker's compensation insurance policies)
Section 3	Letter of Bondability (letter from the Applicant's surety or surety broker confirming the Applicant's per project and aggregate bonding capacity)
Section 4	Audited or Reviewed Financial Statement and Accountant Release Authorization (entirety of the Applicant's most recent calendar or fiscal year CPA audited or reviewed financial statement, including notes; completed and executed form of Accountant Release Authorization)
Section 5	Letter of Credit; Financial Institution Release Authorization (If the Applicant elects to augment the Applicant's financial capacity, provide: (i) the completed and executed form of General Letter of Credit included with the Application or an alternative form of Letter of Credit with substantive provisions consistent with the form of General Letter of Credit; and (ii) completed and executed form Financial Institution Release Authorization. If the Applicant does not augment its financial capacity with a letter of credit, provide a page with the notation of "NOT APPLICABLE" in Section 5).

#### 5. Pre-Qualification Evaluation Criteria. Pre-Qualification of Applicants will be based on the following:

- 5.1. Evaluation Criteria. The following Evaluation Criteria and scoring will be used to determine each Applicant's Pre-Qualification status.
  - 5.1.1. Mandatory Essential Questions. Applicants must respond to all Mandatory Essential Questions. If any response to a Mandatory Essential Question results in "Not Qualified," the Applicant will not be pre-qualified unless an exception to this provision is approved by the Assistant Superintendent/Vice President of Administrative Services.

- 5.1.2. Scored Questions. Applicants must respond to all Scored Questions. To be deemed Pre-Qualified, an Applicant must receive a minimum score of **Sixty-Three (63) Points** on the Scored Questions.
- 5.1.3. Safety Record. Applicants must provide safety record information required by Paragraph 8 of the Pre-Qualification Application. To be deemed Pre-Qualified, an Applicant must receive a minimum score of **Forty-Two (42) Points** on the Safety Record Questions.
- 5.1.4. Experience Rating. Experience Rating is the average original contract price for public works contracts subject to DSA (Division of the State Architect) jurisdiction completed by an Applicant in the State of California in the past seven (7) years as disclosed in the Applicant’s responses to Paragraph 9 of the Application. In the following example, the Experience Rating of an Applicant would be \$12,300,000:

Project 1	\$16,275,000
Project 2	\$14,375,000
Project 3	\$11,000,000
Project 4	\$10,750,000
Project 5	\$8,900,000
Experience Rating	\$61,300,000 ÷ 5 = \$12,260,000 (the average Contract Price will be rounded to the nearest \$100,000)

- 5.1.5. Financial Capacity. Applicants must demonstrate financial capacity to complete District construction projects as evidenced by the Applicant’s financial statement. Financial capacity is based on the lesser of:
  - (i) 10 X Working Capital (current assets – current liabilities); or
  - (ii) 10 X Net Worth (assets - liabilities) plus Letter of Credit, if applicable. An Applicant may augment its financial capacity by submittal of a Letter of Credit conforming to the requirements of the Application.
- 5.1.6. Qualification Limit. A Qualification Limit will be established for each pre-qualified Applicant. The Qualification Limit is based on the greater of:
  - (i) The Experience Rating (as determined by the information provided in Paragraph 9 of the Application);
  - (ii) Financial Capacity (as determined by the information provided in Paragraph 10 of the Application); or
  - (iii) Ninety percent (90%) of the Applicant’s maximum per project bonding capacity (as reflected in the Applicant’s response to Paragraph 4 of the Application and verified by the Letter of Bondability in Tab 3 of the Applicant’s response).

Qualification Limits are described in Paragraph 1.2 of these Application Instructions. The pre-qualification process will establish the Qualification Limit for each Applicant. The Qualification Limit is the maximum dollar value of a project an Applicant is deemed pre-qualified for submitting a Bid Proposal.

**6. Notification of Pre-Qualified Status.** Applicants submitting Responses to the Application will be notified by the District in writing of their pre-qualification status.

## 7. Appeal of Pre-Qualified Status Determination.

- 7.1. Appeal. An Applicant who is not deemed pre-qualified may appeal the not-qualified determination by strict compliance with the following. Appeals which are not in strict conformity to the following will be summarily rejected. An Applicant may commence the appeal process by delivering a written appeal to the District's Bond Program Office no later than five (5) working days after the date of the District's notice to the Applicant that the Applicant is not pre-qualified.
- 7.2. Written Appeal. The written appeal shall set forth, in detail, all grounds for the appeal, including without limitation all facts, supporting documentation, legal authorities and argument in support of the grounds for the appeal. Any matters not set forth in the written appeal shall be deemed waived. All factual contentions must be supported by competent, admissible and credible evidence.
- 7.3. Response to Appeal. The District's Physical Plant Director is authorized to review and render a final determination for all appeals. The determination of the District's Physical Plant Director will be based on matters set forth in the written appeal. The determination of the District's Physical Plant Director is final and not subject to further appeal to any other District employee, officer or Board of Trustees.
- 7.4. Non-Conforming Appeal. Any appeal not conforming to the foregoing requirements is subject to summary rejection by the District, and thereupon the District's determination of an Applicant's "not pre-qualified" status shall be deemed final and not subject to administrative or judicial appeal. Without a timely submitted appeal, Applicants waive any and all rights to challenge the District's determination of Applicants' "not pre-qualified" status, whether by administrative process, judicial process or any other legal process or proceeding.

**SHASTA-TEHAMA-TRINITY JOINT COMMUNITY COLLEGE DISTRICT  
CONSTRUCTION PROJECTS  
2026 PREQUALIFICATION APPLICATION**

The undersigned Applicant requests that Shasta-Tehama-Trinity Joint Community College District (“District”) pre-qualify the Applicant to submit Bid Proposals for construction projects.

**1. Applicant Information.** Complete the following.

Firm/Company Name	_____	
Physical Office Location	_____ (Address)	
	_____ (City, State and Zip Code)	
Mailing Address (if different from physical office address)	_____ (Address) _____	
Applicant Contacts	_____ (Name)	_____ (Name)
	_____ (Telephone)	_____ (Telephone)
	_____ (Email)	_____ (Email)
Applicant California Contractors' License	_____ (License No.)	_____ (Expiration Date)
		_____ (Classifications)
Applicant DIR Registration	_____ (DIR Registration No.)	_____ (Expiration Date)
Employer Identification Number (Federal Tax Id Number)	_____	

**2. Applicant Form of Entity.** Check appropriate box.

- Corporation \_\_\_\_\_  
(State of Incorporation & Corporate Registration No.)
- Partnership: \_\_\_\_\_  
(Describe type of partnership i.e., general partnership, limited partnership)
- Limited Liability Company
- Limited Liability Partnership
- Joint Venture \_\_\_\_\_  
(Identify each member of Joint Venture and form of entity of each Joint Venturer)
- Sole Proprietorship \_\_\_\_\_  
(Identify all equity owners)

**3. Applicant Annual Revenue.** Complete the following. If the Applicant is engaged in business enterprises other than construction, responses to the following are limited to the Applicant’s construction operations.

Most Recent 3 Calendar/ Fiscal Years	Annual Gross Revenue	Average Dollar Value of all Contracts	Dollar Value of Largest Contract
2024 CY/2023-2024 FY			
2023 CY/2022-2023 FY			
2022 CY/2021-2022 FY			

**4. Applicant Insurance and Bonding.** Complete the following for the Applicant’s current General Liability Insurance, Workers’ Compensation Insurance and bond surety.

<b>General Liability Insurance</b>	Insurer _____ Policy No.: _____ Broker: _____  _____ (Liability Insurance Broker Contact Name) _____ (Broker Street Address) _____ (Broker City, State & Zip Code) _____ (Broker Contact Person Telephone) _____ (Broker Contact Person Email Address)	<table border="1"> <thead> <tr> <th>Coverage Limits</th> </tr> </thead> <tbody> <tr> <td> <u>Per Occurrence:</u>                      _____ Dollars                      (\$ _____)                 </td> </tr> <tr> <td> <u>Aggregate:</u>                      _____ Dollars                      (\$ _____)                 </td> </tr> </tbody> </table>	Coverage Limits	<u>Per Occurrence:</u> _____ Dollars (\$ _____)	<u>Aggregate:</u> _____ Dollars (\$ _____)	
	Coverage Limits					
<u>Per Occurrence:</u> _____ Dollars (\$ _____)						
<u>Aggregate:</u> _____ Dollars (\$ _____)						
<b>Bond Surety</b>	Surety: _____ Policy No.: _____ Surety Broker: _____  _____ (Surety Broker Contact Name) _____ (Surety Broker Street Address) _____ (Surety Broker City, State & Zip Code) _____ (Surety Broker Contact Person Telephone) _____ (Broker Contact Person Email Address)	<table border="1"> <thead> <tr> <th>Bonding Capacity</th> </tr> </thead> <tbody> <tr> <td> <u>Maximum Per Project:</u>                      _____ Dollars                      (\$ _____)                 </td> </tr> <tr> <td> <u>Aggregate All Projects:</u>                      _____ Dollars                      (\$ _____)                 </td> </tr> <tr> <td> <u>Largest Bond Prior Twelve (12) Months:</u>                      _____ Dollars                      (\$ _____)                 </td> </tr> </tbody> </table>	Bonding Capacity	<u>Maximum Per Project:</u> _____ Dollars (\$ _____)	<u>Aggregate All Projects:</u> _____ Dollars (\$ _____)	<u>Largest Bond Prior Twelve (12) Months:</u> _____ Dollars (\$ _____)
	Bonding Capacity					
<u>Maximum Per Project:</u> _____ Dollars (\$ _____)						
<u>Aggregate All Projects:</u> _____ Dollars (\$ _____)						
<u>Largest Bond Prior Twelve (12) Months:</u> _____ Dollars (\$ _____)						
<b>Workers Compensation Insurance</b>	Insurer _____ Policy No.: _____ Broker: _____  _____ (WC Insurance Broker Contact Name) _____ (WC Broker Street Address) _____ (WC Broker City, State & Zip Code) _____ (WC Broker Contact Person Telephone) _____ (WC Broker Contact Person Email Address)					

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**5. Community College/K-12 Pre-Qualification.**

5.1. Current Pre-Qualified Status. Is the Applicant currently a pre-qualified bidder for any California community college district or California K-12 school district?

- Yes
- No

If "Yes" complete the following:

CCD/K-12 School District	Pre-Qualification Limit	Duration (Expiration Date) of Pre-Qualification

5.2. Denied Pre-Qualification Status. Within the past ten (10) years, has the Applicant been denied pre-qualification status by any California community college district or California K-12 school district?

- Yes
- No

If "Yes" complete the following:

CCD/K-12 School District	Pre-Qualification Submittal Date	Reason for Denial

**6. Mandatory Essential Questions.** An Applicant will not be pre-qualified if the response to any of the following Mandatory Essential Questions results in a "Not Qualified" designation unless an exception to this provision is approved by the Assistant Superintendent/Vice President of Administrative Services.

- 6.1. The Applicant possesses a valid and good standing B-General Building California Contractors' license.
  - Yes     No (Not Qualified)
- 6.2. The Applicant is a DIR registered contractor.
  - Yes     No (Not Qualified)
- 6.3. Applicant maintains a commercial general liability insurance policy with coverage limits of at least \$1,000,000 per occurrence and \$2,000,000 in the aggregate.
  - Yes     No (Not Qualified)
- 6.4. Applicant has a current workers' compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code §3700.
  - Yes     No (Not Qualified)
  - Applicant is exempt from this requirement, because it has no employees (Not Qualified)

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- 6.5. The Applicant has provided Certificates of Insurance evidencing the Applicant's general liability and workers' compensation insurance in Tab 2 of the response to this Application.  
 Yes  No (Not Qualified)
- 6.6. The Applicant has provided a letter from the Applicant's surety or the Applicant's surety broker verifying the Applicant's per project and aggregate bonding capacity in Tab 3 of the response to this Application.  
 Yes  No (Not Qualified)
- 6.7. The Applicant has provided the entirety of the Applicant's most recent calendar or fiscal year CPA audited or reviewed financial statement in Tab 4 of the response to this Application.  
 Yes  No (Not Qualified)
- 6.8. The Applicant has provided the Accountant Release Authorization in Tab 4 of the response to this Application.  
 Yes  No (Not Qualified)
- 6.9. The Applicant has provided the completed and executed General Letter of Credit and Financial Institution Release Authorization in Tab 5 of the response to this Application.  
 Yes  No  
 Not applicable; the Applicant is not supplementing the Applicant's financial status with a letter of credit.
- 6.10. The Applicant's Workers' Compensation Insurance prior five (5) year average Experience Modification Rating ("EMR") is more than 1.20.  
 Yes (Not Qualified)  No
- 6.11. The Applicant's Workers' Compensation Insurance current Experience Modification Rating ("EMR") rating is more than 1.20.  
 Yes (Not Qualified)  No
- 6.12. Within the past seven (7) years, the Applicant completed at least five (5) public works projects (as defined in Labor Code §1720-1720.6) subject to DSA jurisdiction.  
 Yes  No (Not Qualified)
- 6.13. Within the past ten (10) years, did the Applicant construct any public works projects subject to DSA jurisdiction where: (i) the Applicant was under a contract with the project owner for construction of all or a portion of the project; and (ii) the project was not certified by DSA upon completion for failure to complete and submit DSA Form 6-C Contractor Verified Report for the project?  
 Yes (Not Qualified)  No
- 6.14. The Applicant is ineligible or debarred from submitting bid proposals for public works projects or public works contracts pursuant Labor Code §1777.1 or Labor Code §1777.7.  
 Yes (Not Qualified)  No
- 6.15. Within the past five (5) years, a public agency has determined that the Applicant or any predecessor to the Applicant is not a "responsible" bidder for a public works project or a public works contract.  
 Yes (Not Qualified)  No
- 6.16. Within the past five (5) years, the Applicant, or a predecessor to the Applicant has been declared in default under a contract for construction of a private sector project or a contract for construction of a public sector project.  
 Yes (Not Qualified)  No

- 6.17. During the past five (5) years, a Surety has completed any project or the Applicant’s obligations under a construction contract.  
 Yes (Not Qualified)       No
- 6.18. During the past ten (10) years, has any insurer for any policy of insurance refused or declined to issue or renew a policy of insurance for the Applicant?  
 Yes (Not Qualified)       No
- 6.19. During the past ten (10) years, has any surety for any surety bond refused or declined to issue a surety bond for the Applicant?  
 Yes (Not Qualified)       No
- 6.20. During the past five (5) years, Liquidated Damages have been assessed against the Applicant under any contract for construction of a private sector project or construction of a public sector project.  
 Yes (Not Qualified)       No
- 6.21. During the past five (5) years, the Applicant or any predecessor to the Applicant, or any of the equity owners of the Applicant have been convicted of a federal or state crime involving fraud, theft, or any other act of dishonesty.  
 Yes (Not Qualified)       No
- 6.22. CAL OSHA or OSHA has cited and assessed penalties against the Applicant for “serious,” “willful” or “repeat” violations of their safety or health regulations in the past five (5) years.  
 Yes (Not Qualified)       No
- 6.23. The Applicant is currently the debtor in a bankruptcy/insolvency proceeding under federal or state law.  
 Yes (Not Qualified)       No
- 6.24. Within the past five (5) years, has the Applicant or a predecessor to the Applicant been subject to any state or federal bankruptcy or insolvency proceeding as a debtor?  
 Yes (Not Qualified)       No

**7. Scored Questions.**

Question	Response	District Use Only
7.1. How many years has the Applicant been in business in California as a contractor under the Applicant’s current business/trade name?	_____	_____ points Less than 3 years    0 points 3-8 years             3 points More than 8 years   5 points
7.2. How many years has the Applicant’s RMO/RME for the Applicant’s Contractors’ License served as the RMO/RME?	_____	_____ points Less than 3 years    0 points 3-8 years             3 points More than 8 years   5 points
7.3. How many complaints have been filed with the CSLB against the Applicant or the Applicant’s Contractors’ License in the past ten (10) years?	_____	_____ points One (1) or more    0 points None                   5 points
7.4. How many CSLB disciplinary proceedings have been initiated against the Applicant or the	_____	_____ points One (1) or more    0 points

Question	Response	District Use Only
		None 5 points
7.5. How many years has the Applicant constructed projects subject to DSA jurisdiction pursuant to a direct contract with the Project owner?	_____	_____ points Less than 3 years 0 points 3-8 years 3 points More than 8 years 5 points
7.6. In the past seven (7) years, how many projects has the Applicant constructed, under direct contract with the project owner, that has been subject to DSA jurisdiction?	_____	_____ points One (1) to three (3) 0 points Four (4) to five (5) 3 points More than five (5) 5 points
7.7. In the past ten (10) years, have any dispute resolution proceedings (including mediation) or legal proceedings been commenced against the Applicant by a public owner relating to the Applicant's construction of a public works project for the public owner?	_____	_____ points One (1) or more 0 points None 5 points
7.8. In the past ten (10) years, has the Applicant commenced any dispute resolution proceedings (including mediation) or legal proceedings against a public owner relating to the Applicant's construction of a public works project for the public owner?	_____	_____ points One (1) or more 0 points None 5 points
7.9. In the past ten (10) years, have any dispute resolution proceedings (including mediation) or legal proceedings been commenced against the Applicant by a design professional relating to the Applicant's construction of a public works project designed in whole or in part by the design professional?	_____	_____ points One (1) or more 0 points None 5 points
7.10. In the past ten (10) years, has the Applicant commenced any dispute resolution proceedings (including mediation) or legal proceedings against the design professional relating to the Applicant's construction of a public works project designed in whole or in party by the design professional?	_____	_____ points One (1) or more 0 points None 5 points

Question	Response	District Use Only
7.11. In the past ten (10) years, has the Applicant filed any claims pursuant to Government Code §900 with any public owner relating to the Applicant's construction of a public works project for the public owner?	_____	_____ points One (1) or more 0 points None 5 points
7.12. In the past ten (10) years, has any insurer refused or declined to issue a policy or insurance for the Applicant or refused or declined to renew a policy of insurance for the Applicant?	_____	_____ points One (1) or more 0 points None 5 points
7.13. In the past ten (10) years, has any surety refused or declined to issue a bond for the Applicant?	_____	_____ points One (1) or more 0 points None 5 points
7.14. In the past ten (10) years, how many claims/demands have been made against a Performance Bond issued for the Applicant as the Principal in connection with a public works project?	_____	_____ points Three (3) or more 0 points One (1) or two (2) 2 points None 5 points
7.15. In the past ten (10) years, how many claims/demands have been made against a Labor and Materials Payment Bond issued for the Applicant as the Principal in connection with a public works project?	_____	_____ points Three (3) or more 0 points One (1) or two (2) 2 points None 5 points
7.16. In the past ten (10) years, how many claims/demands have been made against a Bid Bond issued for the Applicant as the Principal in connection with a public works project?	_____	_____ points Three (3) or more 0 points One (1) or two (2) 2 points None 5 points
7.17. In the past ten (10) years, has the Applicant been required to pay back wages or been assessed penalties for violation of prevailing wage requirements?	_____	_____ points Three (3) or more 0 points One (1) or two (2) 2 points None 5 points
7.18. In the past ten (10) years, how many Stop Payment Notice claims filed against the Applicant relating to public works projects have resulted in entry of judgment against the Applicant?	_____	_____ points Three (3) or more 0 points One (1) or two (2) 2 points None 5 points
<b>TOTAL POINTS – SCORED QUESTIONS</b>		_____ points

**8. Safety.** Complete the following:

MOST RECENT THREE (3) YEARS	2024	2023	2022	District Use Only
Workers Compensation Insurance EMR	_____	_____	_____	_____ points Less than 1.0    10 points 1.0 through 1.2    5 points More than 1.2    0 points
Number of Jobsite Fatalities	_____	_____	_____	_____ points None    5 points More than 1    0 points
Number of CalOSHA/OSHA for "serious," "willful" or "repeat" violations	_____	_____	_____	_____ points None    5 points More than 1    0 points
<b>TOTAL POINTS – SAFETY QUESTIONS</b>				_____ <b>points</b>

**9. Experience.** Complete the following for the five (5) highest dollar value public works contracts under DSA jurisdiction completed by the Applicant, as a general contractor, within the past seven (7) years for: (i) facilities scheduled or deferred maintenance; (ii) building equipment/systems repairs; (iii) facilities renovations/modernizations; or (iv) capital construction.

PROJECT NO. 1	
Owner/District Name	_____
Project Description	_____
Original Contract Time (Completion Date)	_____
Actual Contract Time (Actual Completion Date)	_____
Original Contract Price/Value	_____
Owner/District Contact Person	Name: _____ Position/Title _____ Address: _____ _____ Telephone _____ Email Address _____

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<b>PROJECT NO. 2</b>	
Owner/District Name	
Project Description	
Original Contract Time (Completion Date)	
Actual Contract Time (Actual Completion Date)	
Original Contract Price/Value	
Owner/District Contact Person	Name: _____ Position/Title _____ Address: _____ _____ Telephone _____ Email Address _____

<b>PROJECT NO. 3</b>	
Owner/District Name	
Project Description	
Original Contract Time (Completion Date)	
Actual Contract Time (Actual Completion Date)	
Original Contract Price/Value	
Owner/District Contact Person	Name: _____ Position/Title _____ Address: _____ _____ Telephone _____ Email Address _____

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PROJECT NO. 4	
Owner/District Name	
Project Description	
Original Contract Time (Completion Date)	
Actual Contract Time (Actual Completion Date)	
Original Contract Price/Value	
Owner/District Contact Person	Name: _____ Position/Title _____ Address: _____ _____ Telephone _____ Email Address _____

PROJECT NO. 5	
Owner/District Name	
Project Description	
Original Contract Time (Completion Date)	
Actual Contract Time (Actual Completion Date)	
Original Contract Price/Value	
Owner/District Contact Person	Name: _____ Position/Title _____ Address: _____ _____ Telephone _____ Email Address _____

**10. Applicant Financial Information**

- 10.1. Applicant Current CPA Audited or Reviewed Financial Statement. Submit with this Application (Section 4) the Applicant’s CPA audited or reviewed financial statement for the most recent calendar or fiscal year. CPA compiled financial statements or financial statements which are not prepared or reviewed by an independent CPA are not acceptable and will result in denial of pre-qualified status for the Applicant.
- 10.2. Accountant Release Authorization. The Applicant must provide the completed and executed form of Accountant Release Authorization (Section 4) included in the Application to authorize the District to contact the Applicant’s Accountant who prepared the Applicant’s audited or reviewed financial

statement.

- 10.3. Letter of Credit. An Applicant may augment its financial status by submittal (Section 5) of a completed and executed Letter of Credit issued by a Financial Institution in the form and content included with the Application. An alternative form of Letter of Credit may be submitted, provided that the content of such alternative form of Letter of Credit is substantially similar to the contents of the Letter of Credit included with the Application.
- 10.4. Financial Institution Release Authorization. If an Applicant augments the Applicant’s financial status by submittal of a Letter of Credit, the Applicant must submit in Section 5 the completed and executed form of Financial Institution Release Authorization included with this Application to authorize the District to contact the Applicant’s Financial Institution issuing the Letter of Credit.

**11. Applicant References.** Complete the following for K-12 school district and/or community college references. At least three (3) references must be provided. References must be in connection with project(s) completed by the Applicant for the K-12 school district and/or community college reference within the past five (5) years.

Name	K-12 School District or Community College District	Position/Title	Telephone	Email

**12. Accuracy and Authority.** The undersigned is duly authorized to execute this Application under penalty of perjury on behalf of the Applicant. The undersigned warrants and represents that he/she has personal knowledge of each of the responses to this Application and/or that he/she has conducted all necessary and appropriate inquiries to determine the truth, completeness and accuracy of responses to this Application. The undersigned declares and certifies that the responses to this Application are complete and accurate, there are no omissions of material fact or information that render any response to be false or misleading, and there are no misstatements of fact in any of the responses. The Applicant acknowledges and agrees that if the District determines that any response herein is false or misleading or contains misstatements of fact, the Application may be rejected by the District.

Executed this \_\_\_\_ day of \_\_\_\_\_ 20\_\_ at \_\_\_\_\_  
(City and State)

I declare under penalty of perjury under California law that the foregoing is true and correct.

By: \_\_\_\_\_  
(Signature)

Title: \_\_\_\_\_

**ACCOUNTANT RELEASE AUTHORIZATION**

To: Shasta-Tehama-Trinity Joint Community College District

From: \_\_\_\_\_  
(Applicant Name)

The above-identified Applicant authorizes the Shasta-Tehama-Trinity Joint Community College District to contact the Applicant’s accounting firm for verification of information and disclosures contained in the Applicant’s reviewed or audited financial statement submitted by the Applicant with the Application. The undersigned is duly authorized by the Applicant to execute this Accountant Release Authorization on behalf of the Applicant and to authorize the Shasta-Tehama-Trinity Joint Community College District to contact the Applicant’s accounting firm.

Date: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature)

Name: \_\_\_\_\_  
(Handwritten or Typed Name)

Title: \_\_\_\_\_

District Use Only	
Accountant verification by:	
Verification date:	
Accountant contact for verification	Name: _____ Telephone _____ Email _____
Verification notes/comments	

**GENERAL LETTER OF CREDIT**

**TO:** Shasta-Tehama-Trinity Joint Community College District

**RE: Letter of Credit for 2026 Pre-Qualification  
Pre-Qualification Application of \_\_\_\_\_**

The above identified Pre-Qualification Applicant has been extended an unqualified line of credit not to exceed \_\_\_\_\_ Dollars (\$ \_\_\_\_\_) and that such line of credit will not be withdrawn or reduced without at least thirty (30) days advance written notice to the Shasta-Tehama-Trinity Joint Community College District. The undersigned is authorized by the financial institution identified below to execute and deliver this General Letter of Credit.

\_\_\_\_\_  
(Financial Institution Name)

\_\_\_\_\_  
(Financial Institution No. Code)

\_\_\_\_\_  
(Financial Institution Street Address)

\_\_\_\_\_  
(Financial Institution City, State and Zip Code)

**By:** \_\_\_\_\_  
(Signature)

**Name:** \_\_\_\_\_  
(Handwritten or Typed Name)

**Title:** \_\_\_\_\_

The Applicant's financial status may be augmented by an unqualified letter of credit. If an Applicant or an Applicant's financial institution issuing the unqualified letter of credit prefers, an alternative form of letter of credit may be submitted. An alternative form of letter of credit will be accepted only if the extended line of credit is unqualified and not subject to rescission, reduction or other modification without at least thirty (30) days advance written notice to the Shasta-Tehama-Trinity Joint Community College District.

**FINANCIAL INSTITUTION RELEASE AUTHORIZATION**

(Must be completed and submitted by Applicants who augment financial status with a letter of credit)

TO: Shasta-Tehama-Trinity Joint Community College District

FROM: \_\_\_\_\_  
(Applicant Name)

**RE: Letter of Credit for 2026 Pre-Qualification**

The above-identified Applicant authorizes the Applicant’s financial institution issuing a letter of credit for the Applicant to verify issuance of the letter of credit to representatives of the Shasta-Tehama-Trinity Joint Community College District. The undersigned is authorized to execute and deliver this Financial Institution Release Authorization on behalf of the Applicant.

Date: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature)

Name: \_\_\_\_\_  
(Handwritten or Typed Name)

District Use Only	
Verification by:	
Verification date:	
Financial institution contact for verification	Financial Institution Name: _____ Contact Name: _____ Telephone: _____ Email: _____
Verification notes/comments	